

FORM PTO-1390  
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

AGENT'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

G-72

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/744145

INTERNATIONAL APPLICATION NO.

PCT/AU99/00587

INTERNATIONAL FILING DATE

20 July 1999

PRIORITY DATE CLAIMED

20 July 1998

TITLE OF INVENTION

Metal Screened Electronic Labelling System

APPLICANT(S) FOR DO/EO/US

Peter Harold COLE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau. ~ see IR 308
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)). Not required because original in English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. Please enter before calculating the filing fee.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

IR 308  
IR 304

09774435 PCT/AU99/00587

CALCULATIONS PTO USE ONLY

17. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO

and International Search Report not prepared by the EPO or JPO ..... \$1070.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$930.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$720.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$98.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**Pls. enter attached amendment before calculating the  
Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).\$  
filing fee.

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	34 - 20 =	14	x \$22.00 18.00	\$ 432 30 6
Independent claims	3 - 3 =	0	x \$82.00	\$ -
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ -

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1,292 11 6

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

+

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$

Amount to be  
refunded: \$

charged: \$

a. ☒ A check in the amount of \$ 79796 11 66 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 16-2128. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Roland Plottel  
Rockefeller Center Stn.  
P.O. Box 293  
New York, N.Y. 10185-0293

SIGNATURE

Roland Plottel  
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REGISTRATION NUMBER

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10/01/95

09/744145

JC02 Rec'd PCT/PTO 18 JAN 2001

Case Docket No. G-72

"Express Mail" mailing label number EL 446 868 782 Date of Deposit JAN 18 2001  
 I hereby certify that this application is being deposited with the United States Postal Service  
 "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above as  
 is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20331.

Roland Plottel  
 Roland Plottel

Transmitted herewith for filing is the patent application or

Inventor: Peter Harold COLE

For: Metal Screened Electronic Labelling System

Enclosed are:

☐ 2 sheets of drawing.

☐ An assignment of the invention to Gemplus

☐ A certified copy of a Australia application No pp 4738  
 the priority of which is hereby claimed, pursuant to the International Convention for  
 the protection of Industrial Property (613 OG 23, 53 Stat. 1748, MPEP 201.13).  
☐ A verified statement to establish small entity status under 37 CFR 1.9  
 and 37 CFR 1.27.

☒ Preliminary Amendment. Please enter before calculating the  
 filing fee.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	<u>31</u> -20=	* <u>11</u>
INDEP CLAIMS	<u>3</u> -3=	* <u>0</u>
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\* If the difference in Col. 1 is less  
 than zero, enter "0" in Col. 2

## SMALL ENTITY

RATE	FEE
X: 11	\$
X 39	\$
+ 125	\$
TOTAL	\$

\$40.00 Assignment Recording Fee:

OTHER THAN A  
SMALL ENTITY

RATE	FEE
X: 22	\$
X 78	\$
+ 250	\$
TOTAL	\$

860

1166

- ☐ Please charge my Deposit Account No. 16-2128 in the amount of  
 \$ 1166. A duplicate copy of this sheet is enclosed.  
☒ A check for the amount of \$ 1166 to cover the filing/fees is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following  
 fees associated with this communication or credit any overpayment to  
 Deposit Account No. 16-2128. A duplicate copy of this sheet is  
 enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following  
 fees during the pendency of this application or credit any overpayment to  
 Deposit Account No. 16-2128. A duplicate copy of this sheet is  
 attached.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the  
 Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16

Address all future correspondence and telephone calls to applicant's  
 attorney whose address and telephone is listed below:

212 489-7073

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Roland Plottel  
 ROLAND PLOTTEL PTO No. 20707

X Prior art Statement form 1449

References Total